

pXRF on printed circuit boards: Methodology, applications, and challenges

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4 mining, pXRF

5

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8

9 Abstract :

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In order to develop methods to determine the chemical composition of Waste Printed 11 12 Circuit Boards (WPCB), this study focused on the analysis of 10 metals (Cu, Fe, Sn, Zn, Pb, Ni, 13 Sb, Cr, Mo and Pd) using portable X-ray fluorescence (pXRF) compared to ICP-MS measurements after aqua regia digestion. Different experimental conditions were tested: 3 14 15 particle sizes (200 µm, 750 µm and 2 mm) and 3 sample preparations (tube, cup and loose 16 powder). For each condition tested, 8 to 16 independent replicates were done. ICP 17 measurements with the 200 μ m sample, considered as the reference condition in this study, 18 confirmed the homogeneity of the sample at this particle size and the robustness of the 19 sampling protocol (RSD < 5 % for all elements). For this particle size, pXRF has low data 20 dispersion too (Cu, Fe, Sn, Zn, Pb, Sb and Cr showed RSD < 10 %) and the use of loose 21 powder seems to be a sufficient preparatory step. Moreover, the deviation of pXRF

22	measurements with the 200 μm sample from the reference condition was acceptable (<
23	20%) for Cu, Sn, Zn, Pb, Ni, Sb and Mo. For coarser samples, i.e. 750 μm and 2 mm, the
24	homogeneity was much more doubtful, which needs to be offset by a larger number of
25	repetitions. For these particles sizes, pXRF set to factory-installed mining mode did not
26	produce accurate measurements but could provide a rapid non-intrusive approach for first-
27	level screening to assess the relative difference of metal contents between WPCB samples.

30 1 Introduction

31

1.1. The complexity of Waste Printed Circuit Boards

Our societies generate ever larger masses of electronic waste: more than 53.6 million tonnes
of electronic and electrical waste (excluding photovoltaic panels) were produced globally in
2019 of which only a small proportion (17.4 %) is formally recycled (Forti et al., 2020).

35 Metals represent a significant part of this waste, both in volume and in material value 36 (UNEP, 2013). The recycling market for old electronic devices is essentially based on 37 recovered metals, recycling chains for plastics, glass and ceramics being more limited. 38 Printed circuit boards are among the most economically relevant waste materials in this 39 category. Various processing units recycle Waste Printed Circuit Boards (WPCB) to capture 40 metals of value, such as Cu, Ag, Au (Ghosh et al., 2015; Rocchetti et al., 2018), but only part 41 of their value is currently being captured (Thomas, 2016; Qui et al., 2021). Incomplete 42 knowledge on the available feedstock is also problematic for establishing alternative metal 43 recovery operations. To obtain reliable values for WPCB metal content, the characterization 44 of materials has to be fully controlled, reproducible and reliable. At present, there is no approved and standardized methodology for WPCB characterization. 45

WPCB is a complex mix of substances, and determining the composition of a pile of WPCB
with accuracy requires high quality sampling and analysis. Numerous factors have a strong
impact on the values measured and their deviations: sampling (dynamic flow or static pile),
physical preparation of the raw material (shredding and dividing steps), sample preparation
before analysis (digestion, fusion and pellet preparation), analytical measurement, etc.
(Kaya, 2019).

52 The first factors (physical preparation and division) are part of the sampling plan. The e-cards 53 in stacks of WPCB can be several tens of centimetres in size, which is too large to be characterized without shredding. The sampling plan starts with several particle size 54 reduction and splitting steps. The aim of the sampling plan is to supply a sample with a 55 56 specific mass and specific particle size. Any sampling error has to be associated with each 57 datum on metal content. No sampling plan for WPCB is publicly available: some companies 58 have developed internal procedures, but these are rarely accessible. A plan has been 59 published by the UMICORE smelter, but it is not up-to-date (Hagelüken, 2006; UMICORE, 2012). In most scientific papers characterizing WPCB, the material is shredded into particles 60 61 of one to a few millimetres and in some cases sieved to isolate fine particles (Ogunniyi et al., 62 2009; Korf et al., 2019), but none provides a sampling plan.

63 The last factors (analytical protocols) are part of analysis methodology. Analyses can be 64 destructive, e.g. fire assay, calcination, digestion required before Inductively Coupled Plasma 65 spectrometry (ICP) or Atomic Absorption Spectrometry (AAS), or non-destructive (loose 66 pellets for XRF or LIBS). Metals in WPCB are often measured with ICP after aqua regia 67 leaching (Kaya, 2019; Korf et al., 2019; Hubau et al., 2019; Ogunniyi et al., 2009; Wienold et 68 al., 2011). This method allows, in a single analysis, the determination of thirty chemical 69 elements and therefore provide substantial information on the composition of the sample. 70 Generally, the digestion step with aqua regia is effective for most metals and allows true 71 values to be approached (Hubau et al., 2019; Ogunniyi et al., 2009; Wienold et al., 2011; 72 Andrade et al., 2019). Digestion has significant drawbacks, however, as it is extremely time-73 consuming and costly.

Hubau et al., (2019) and Touzé et al., (2020) worked on the effects of sample preparation
(grain-size, sample masses for digestion, digestion methods, analysis techniques) and the
number of repetitions on the quality of results. The results of these studies have shown that
the more finely ground the sample, the lower the dispersion of the results (less than 5%).
The techniques used for grinding to 200 μm are complex and difficult to adapt for routine
sample preparation.

80

1.2. The portable XRF method

81 Rapid technological progress in the field of portable X ray fluorescence (pXRF) spectrometry 82 has increased its applications for environmental sample analysis. These instruments can 83 provide cost-effective, non-destructive, real-time, direct on site-measurements of a wide 84 range of inorganic elements in solid samples. X-ray fluorescence spectrometry is a chemical analysis technique using a physical property of matter. When matter is bombarded with X-85 86 rays, it re-emits energy in the form, among other things, of X-rays. The spectrum of X-rays 87 emitted by matter is characteristic of the composition of the sample, by analysing this 88 spectrum, we can deduce the elementary composition, that is, the mass concentrations of 89 elements.

The pXRF technique is being successfully used in many different disciplines, including environmental remediation (Kalnicky et al., 2001; Laperche et al., 2008; Higueras et al., 2012), recycling, archaeological applications (Cesareo et al., 2009), health and geology/mining (Houlahan et al., 2003). pXRF instruments are designed to analyse very small sample volumes and this can be a source of large analytical errors besides the other factors that influence the quality of pXRF measurements: heterogeneity of the sample, number of shots, surface irregularity and by extension variable path length (Potts, 2008). The latter two

97 derive from the fact that the air gap between the sample and the measurement window 98 dampens the X-ray signal produced by the sample. This often leads to a lower measured 99 concentration (Markowicz, 2008) and if the path length is variable, the lower concentration 100 cannot be countered by a conversion factor.

101 There are two ways to evaluate the measurement results from pXRF: i) sample pXRF 102 measured values versus chemical measured values (Radu et al., 2009) and ii) sample pXRF 103 measured values versus reference material measured values (Peinado et al., 2010). Since 104 06/2019, a certified reference material, BAM-M505a, has been available for the development, validation and quality control of analytical methods and procedures to 105 106 determine main and trace components in electronic waste (Recknagel, 2019). The way the 107 reference sample is prepared (melted and mixed pyrite) is very different to the way our 108 samples are prepared (no modification of the sample except grinding).

109 Sample preparation is a key parameter to obtain qualitative measurements. Some authors 110 (Goff et al., 2019; Civici, 2005; Zhou et al., 2020) have studied the effect of preparation on 111 soil, sediment and mineral samples for pXRF measurements. pXRF providers recommend 112 gently pressing powder to form pellets (dried – ground – pressed sample), which often 113 produces a better correlation with chemical analysis than samples in loose powdered form. 114 However, there is no consensus on this tendency; in the studies of Goff (Goff et al., 2019), 115 pressed pellets were not the optimum type of sample preparation for some elements (Al and 116 Fe). The inconsistency between sources in the literature underlines the importance of the 117 preparation step because it results in substantial differences between particular matrices 118 depending on the composition and particle size distributions.

119

1.3 Applicability of pXRF to electronic waste

120 As with any new method, researchers are actively seeking to extend its main fields of 121 application. Very little documentation exists on the applicability of pXRF to solid waste; some 122 authors have worked on solid wood waste (Hasan et al., 2011), compost (McWhirt et al., 123 2012; Havukainen et al., 2019; López-Núñez et al., 2020) and the fine fraction released from 124 solid recovered fuel production (Havukainen et al., 2019). For electronic waste, three 125 authors have used pXRF to characterize printed circuit board samples (Otsuki et al., 2019 and 2020; Korf et al., 2019; Recknagel, 2019) and one has worked on electronic WPCB 126 127 components (Martin et al., 2010).

128 Recknagel has proposed a reference material from ashed WPCBs that were melted with 129 pyrite (Recknagel, 2019). This author used pXRF to test the homogeneity of 30 sub-samples. 130 The analysis results are therefore studied only in relative rather than absolute terms. Due to 131 the matrix preparation (melted and mixed), the material is different to those of interest in 132 this study (Recknagel, 2019). Korf also used pXRF to test the homogeneity of 7 samples 133 (particle sizes < 200µm) of WPCB, and compared pXRF results to ICP results after acid digestion (Korf et al., 2019). The comparison with digestion-ICP showed that for base metals 134 135 (Cu, Fe, and Zn), pXRF produced comparable results while Sn was overestimated and the 136 platinum group and precious, speciality and hazardous metals were not well analysed. 137 Otsuki used a Niton XL3t GOLDD and cup-prepared sample. He repeated the analysis 5 times for processed samples (size fractions separated by sieving steps) and 20 times for 138 139 unshredded samples (Otsuki et al., 2019). XRF was compared to Prompt Gamma Activation 140 Analysis (PGAA). Values measured by PGAA for Cu, Fe, Zn and Pb were systematically higher 141 than the values measured by pXRF. Martin evaluated different sampling preparations for the 142 determination of hazardous metals (Cr, Cd, Pb) in WPCB using X-ray fluorescence (EDXRF) 143 (Martin et al., 2010). He compared the XRF measurement of individual electronic

144 components in WPCB before and after grinding. No information was given on the particle 145 size obtained, but it was clearly shown that the metal content measurement increases 146 considerably after a grinding step.

147 Since there are very few studies evaluating the use of pXRF for metal determination in 148 electronic waste, the main objective of this paper is to study the applicability of pXRF to 149 investigate the metal content of heterogeneous WPCB. This was done through a comparison 150 with aqua regia digestion followed by ICP, as reported to be widely used in analytical 151 laboratories. The secondary objectives are to study the effect of the particle size and the sample conditioning on the resulting compositional data. To achieve this, nine WPCB 152 153 samples were prepared for analysis with 3 different grinding preparations (sample maximum 154 particle sizes 2 mm, 750 µm and 200 µm) and 3 conditioning options (loose powder, 155 compacted in a cup and pressed in a tube). These sizes were chosen because they 156 correspond to various degrees of liberation of the metal parts, according to the literature 157 (Otsuki et al., 2020; Bacher et al., 2017, Guo et al., 2011). It is known that a grinding 158 preparation to 2 mm is not well adapted to pXRF. However, due to the complexity of WPCB 159 grinding, a 2 mm particle size sample was chosen to limit the time- and energy-consumption 160 of the preparation, as it could be performed in industries.

Particles size and sample conditioning (singly or in combination) affect the confidence level and therefore the precision of the metal contents obtained for WPCB. The elements of interest selected for this study were the critical and base metals Cu, Fe, Sn, Zn, Pb, Ni, Sb, Cr, Mo and Pd.

165 2 Materials and methods

166 2.1 Sample and sample treatment

167 The WPCB used for this study were from the Small Waste Electrical and Electronic 168 Equipment (sWEEE) category (audio and video appliances, toys, personal care products, 169 culinary equipment etc.). Approximately 500 kg of waste was collected in an industrial 170 sorting chain by the waste recovery company "Envie 2E Midi-Pyrénées" (France). The wastes 171 were dismantled manually to extract the PCB. The entire sample (485 kg) was first shredded 172 with an industrial cutting mill (Bohmier Maschinen GmbH) to a particle size of less than 30 173 mm. After division, a quarter of the sample (122 kg) was then shredded to a particle size of 174 less than 10 mm. The < 10 mm sample was divided to obtain sub-sample masses of 4 kg; 175 three sub-samples of 4 kg were used for the tests:

- 176 i) Sample shredded to 2 mm : the 4 kg sample was shredded to 2 mm in a lab knife mill
 177 (Retsch SM2000);
- 178 ii) Sample shredded to 750 μm : the 4 kg sample was shredded successively to 2 mm, 1
 179 mm and 750 μm in the same lab knife mill;
- 180 iii) Sample shredded to 200 μm : the 4 kg sample was shredded to 750 μm in the lab
 181 knife mill and sent to the Poittemille Company (Bethune, France) to be milled with a
 182 universal grinder (FL1 Poittemille) using 200 μm ring holes.

The particle sizes of the different shredding steps were chosen from the UMICORE sampling plan (Hagelüken, 2006) and it was adapted to the devices available at BRGM (Hubau et al., 2019). The losses of material were less than 1% in the procedure to obtain the 2 mm and 750 μm samples. The losses associated with the size reduction from 750 μm to 200 μm are unknown. No particles were removed during the shredding steps. The sampling

188 methodology and particle size distribution are detailed in Hubau et al., (2019) and Touzé et189 al., (2020).

190 2.2 **Chemical analysis**

191 A split shredded sample of 5 g was digested with aqua regia (HNO₃:HCl 1:3) with a solid to 192 liquid ratio of 1:10. The contact time was about 2h at 200°C. The leaching fraction was 193 filtered through 0.45 μm and analysed by ICP-OES (Horiba Jobin Yvon Ultima 2) and ICP MS 194 (Thermo Scientific X Series). Details on the digestion procedure are given in Hubau et al., 195 (2019). Digestions were performed on the three particle size samples (200 μ m, 750 μ m and 2 196 mm). 8 or 16 replicated were done for each particle size. Each digestion was analysed with 197 ICP once. The mean metal content values were calculated for each particle size. Pd was 198 analysed only for the 750 µm sample. ICP measurements on the three particle size samples 199 are denoted as [ICP 2 mm], [ICP 750 µm] and [ICP 200 µm]. For sample [ICP 750 µm], the 200 leaching residues obtained after aqua regia digestion were ground again and digested with a 201 mixture of HF, HCl and HNO₃. The second leaching solution was analysed by ICP.

202

2.3 **Portable XRF apparatus**

All pXRF analyses were performed using the Niton XL3t-980 GOLDD model. This energy dispersive pXRF is fitted with a 50 kV X-ray tube (max. 50 kV, 100 μ A, 2 W) with an Ag anode target excitation source and a Large Drift Detector (LDD). The spot analysed had a diameter of 8 mm. As part of the standard set-up routine, the analyser was initially energy-calibrated using the embedded silver target to avoid contamination. The source count time for the analysis was set at 30 seconds for the main filter and 120 s for the total analysis using the 4 factory-installed filters of the pXRF in the laboratory. All analyses were carried out using the 210 mining mode calibration set by the manufacturer. The resolution of this pXRF is between211 0.150 and 0.157 keV.

212

2.4 Sample preparation for pXRF

The compactness and particle size of the sample are key points in sample preparation as they can affect the metal content measurement. This is even more true for waste with a highly heterogeneous distribution of materials.

216 The samples were prepared using three different preparation procedures (Figure 1):

- (a) "Tube": The sub-samples from < 0.2 and < 0.75 mm samples were put into a plastic tube for analysis (\emptyset = 18 mm; h = 30 mm; sample mass m \approx 10 g). Subsampling was done by grab sampling then compacted in a tube with a press. The tube is positioned upside down on the lab bench during measurements.
- 221 (b) "Cup": The sub-samples from each sample were put into a plastic cup covered by 222 a thin ProleneTM film (4 μ m) for analysis (Ø = 26 mm; h = 20 mm; m \approx 10 g). Sub-223 sampling was done by grab sampling. The cup is positioned upside down on the 224 lab-bench during measurements.
- (c) "Loose powder": The sample was loosened into a heap that was flattened to fill
 up the tray. A 16-hole plate was positioned on top of the loose powder sample.
 The measurements were made with the pXRF directly over each hole of the plate.

In cases (a and b), 16 cups and 16 tubes were filled for each sample with only one replicate for each cup and tube. In case (c), 16 measurements were made over the whole surface of the loose powder sample with only one replicate for each spot. The manufacture of the 16hole plate (Figure 1-c) made it possible to respect the geometry: when working on powdery materials without protecting the nose of the device with the metal plate equipped with aKapton's window, material will enter the circular hole of the analyser.

The design of the pXRF is such that the axis of the detector and the axis of the tube meet at the same point. This point corresponds to the analytical distance at which the sample must be placed for the elements to be properly quantified (this is especially true for low-energy elements ranging from Mg to Ca). If there is powder in the circular hole, the analytical distance at which the sample is placed is shorter than it should be, and the values will probably be overstated.

The pXRF measurements on the 3 particle size samples and 3 conditioning preparations are denoted as [pXRF Size fraction Conditioning]. For sample [pXRF 2 mm Cup] is a sample analysed by pXRF on 2 mm samples with a cup conditioning.

243 2.5 **Experimental protocol and data analysis**

244 In order to understand the effects of particle size and material conditioning on the accuracy 245 of metal content determination, 3 different particle size samples (200 µm, 750 µm and 2 246 mm) and 3 different pXRF conditioning options (loose powder, cup and tube) were studied. Combining these two parameters produced nine different conditions. One of the conditions 247 248 [pXRF 2 mm tube] was abandoned as it was not possible to fill up a tube with 2 mm sample 249 material and have a proper sampling surface. To be able to perform statistical tests, it was 250 decided to repeat each condition at least 16 times, with each metal content value 251 representing one measurement.

The first stage in data processing produced simple summaries about the sample and the
measurements (arithmetic mean, median and Relative Standard Deviation (RSD) values).
These results are presented in Table 2, Table 3, Figure 2 and Figure 4. To identify a potential
12

nugget effect and its impact on the data, it was decided to keep intact data sets (no erraticpoints were excluded) for this first observation step.

257 The second data processing stage involved statistical tests and calculating the deviation from 258 a reference. To perform these calculations, individual values falling outside the overall 259 pattern of the data set were excluded, which enabled us to obtain normality for the dataset. An erratic point is an observation which is outside the general scope of the other 260 261 observations. Interquartile Range method (IQR method) was used to identify these points, 262 any values that fall outside of "calculated range" are considered outliers. For one set of data of one condition (1 size particle, 1 conditioning), the two limits are calculated by the 263 equation (Q1-1.5*IQR, Q3+1.5IQR), where Q1 is the 25th percentile, Q3 is the 75th 264 265 percentile and IQR is the Inter Quartile Range (IQR = Q3-Q1). The 25th percentile is the value 266 at which 25% of the answers lie below that value and 75% of the answers lie above that 267 value, 75th percentile is the value at which 25% of the answers lie above that value and 75% 268 of the answers lie below that value. Mann-Whitney statistical tests were performed to 269 compare the equality of means in two independent samples. This test was chosen because 270 the variances of the results are unequal and the number of samples are less than 30. The 271 tests were performed using XLSTAT with a 95% confidence interval.

In the present study, [ICP 200 μ m] was employed for the cross-validation to assess the reliability of pXRF for all metals except for Pd, which is compared to [ICP 750 μ m]. At the end, 2 sets of ICP results ([ICP 750 μ m] and [ICP-2 mm]) and 8 sets of XRF results were compared to [ICP 200 μ m] sample data; ICP values are considered as the reference values in this study. The deviation of metal content from the reference technique is calculated using the following equation (1):

278

279	Deviation from reference = $100 * \frac{[Metal]_{ref} - [Metal]_i}{[Metal]_{ref}}$
280	(1)
281	Where :
282	[metal] _{ref} = metal content of sample [ICP 200 μ m]
283	[metal] ₁ = metal content of condition i (1 particle size, 1 conditioning)
284	
285	When plotted, this clearly shows the pattern of the individual differences and allows the
286	limits of each technique to be calculated.
287	3 Results
288	3.1 Pre-selection of metals
289	Analysis by pXRF has certain limitations due to internal Limits of Detection (LOD) (Thermo
290	Scientific, 2010) and potential interferences. The first step in this study was to shortlist
291	metals for comparison. The metal selection was based on: i) known pXRF interferences, ii)
292	comparisons of metal content with the mean values from the ICP analysis ([ICP 200 μ m], [ICP
293	750 μ m] and [ICP 2 mm]) and iii) pXRF LOD (Table 1). LODs are calculated as three standard
294	deviations (99.7% confidence interval) for each element, using 60-second analysis time per
295	filter on well prepared samples (particle size < 74 μ m). The AS acronym means Application
296	Specific; pXRF could be developed but not operationally in our case. The following elements
297	are common to the ICP and XRF analyses: Ag, Al, As, Au, Cd, Co, Cr, Cu, Fe, Mg, Mn, Mo, Nb,
298	Ni, Pb, Pd, Sb, Se, Sn, Sr, V, W, Zn.

299 Aluminium was selected to exemplify the overlap problem. For Al analysis, there was a Br line overlap. Brominated compounds have been used extensively for several decades in the 300 301 manufacture of printed circuit boards. A brominated flame retardant is primarily used to 302 meet fire safety standards for PCB assemblies. The Br content range is quite large in 303 materials of this type, from 0 to 11 % by weight (Sharkey et al., 2018). The pXRF used in this 304 study was not able to quantify Br but the K α and K β X-rays lines for these elements were 305 intense and easily identified on all spectra. Whatever the Br content in our samples, light 306 elements such as AI are only quantified from the K lines ($K\alpha = 1,487$ keV and $K\beta = 1,557$ keV), 307 which have low energy and generate a weak signal but are also close to the L lines of the 308 heavier elements such as Br (L α =1.480 keV and L β = 1.526 keV). The energy resolution of pXRF devices is approximately 0.15 keV and does not allow the K and L lines to be 309 310 distinguished from each other. Therefore, Al cannot be properly quantified by pXRF in this 311 type of sample.

Whether this is due to overlapping lines or to very large differences in contents between different elements, it was not possible to properly quantify Ag, As, Au, Cd and Co in this sample in addition to Al.

Only the pXRF results for Cr, Cu, Fe, Mo, Ni, Pb, Pd, Sb, Sn and Zn will be discussed in the following section. A part of the metals selected are found in high concentrations in PCBs with concentrations around several weight percentages (Cu, Sn, Fe, Pb, Ni and Zn), the rest of the metals (Cr, Co, Mo, and Pd) are sparsely distributed with part per million (ppm) content (Bizzo et al. 2014).

320 3.2 Chemical analysis by ICP

Three samples with distinct particle size distributions (200 μ m, 750 μ m and 2 mm) were analysed by ICP. One way of highlighting the influence of these different particle sizes is to compare the mean values of the different conditions (Table 2). In the table, the deviation is expressed in terms of the Relative Standard Deviation (RSD).

The mean concentrations obtained for [ICP 750 μ m] and [ICP 2 mm] were compared to those obtained for the [ICP 200 μ m]. Consistency was very high (deviation <10%) for Fe, Zn, Pb and Ni. For Cr and Mo, there was a tendency towards underestimation when the particle size increased, with deviations of up to 76% for Mo at 2 mm. The tendency was less clear for Cu, Sn and Sb, with variations ranging from 11 to 32%. For Cu and Sn in particular, the concentrations measured for [ICP 750 μ m] were lower than those measured for 2 mm.

331 3.2.1 Digestion efficiency

332 For a same digestion condition (5g-2h), the samples with coarse fractions (2 mm and 750 333 μ m) could be digested by aqua regia more slowly than the sample with fine fraction (200 334 μm) because of the smaller corresponding surface accessible to leaching reagents. In order 335 to verify the efficiency of the digestion process, the leaching residues (obtained after aqua 336 regia digestion) of the 750 µm sample were ground again, digested with an acid mixture 337 containing HF and analysed. The analytical procedure for this second digestion and the 338 results are described in Hubau et al., (2019). The analysis revealed a percentage of metal 339 recovered from the first digestion above 94% for Cu, Fe, Sn, Zn, Pb and Ni. According to the 340 second digestion methodology developed by Hubau (Hubau et al., 2019), the percentages of 341 metal recovered from the first digestion for Mo and Cr were 98% and 99% respectively for the 750 µm sample. It may thus be assumed that these metals were fully leached from the 342 343 750 μm sample. The hypothesis of incomplete digestion due to the difference in particle size is therefore rejected for these metals. Our results are consistent with those of Touzé for Ni,
Co, Fe, Co, Pb and Zn (Touzé et al., 2020).

However, the digestion measured for Sb was incomplete, with 73% of the metal recovered in
the first digestion (Hubau et al., 2019). The mean values are thus under-estimated. The low
recovery rate of Sb by aqua regia was also observed by Korf (Korf et al., 2019).

349 The difference in magnitude for Sn, Sb, Cr and Mo probably reflects the sample350 heterogeneity for these elements.

351 3.2.2 Repeatability

352 For all metals, the RSD are below 4% for the [ICP 200 µm]. Therefore, for 16 independent 353 repetitions, we can assume that the 200 µm samples are homogeneous and the sampling 354 procedure is robust. The RSD for the base metals Cu, Fe and Al remain lower than 10% for 355 the [ICP 750 µm] and [ICP 2 mm]. The content of these metals is high enough to remove the 356 disparities arising from particle size with 16 repetitions. For less concentrated metals 357 (content < 5% mass), the RSD varied from 12% to 37% for the [ICP 750 μ m] (except for Mo 358 and Sb) and from 11% to 38% for the 2 mm sample (except for Mo). [ICP 750 μ m] and [ICP 2 359 mm] are too coarse to obtain a low data spread for the content of metals with low 360 concentrations; to improve the RSD, the mass digested must be > 5 g. This appears to be 361 even more important for Mo at [ICP 750 µm] and [ICP 2 mm], with an RSD above 100%: the 362 very low content results in a very high deviation. The RSD for most metals decreases with 363 particle size (2 mm > 750 μ m > 200 μ m). These results are consistent with a previous study 364 for Ni, Fe, Cu, Co, Pb and Zn (Touzé et al., 2020), which used the same samples (200 μm, 750 365 μm and 2 mm) analysed with Flame Atomic Absorption Spectrometry (FAAS). This

observation may seem obvious if related to good sampling and analysis practices, but itallows deviations to be quantified.

368 3.2.3 Distribution discontinuity

369 The distribution of values is presented as a scattergram, a visual representation of the data 370 that makes it easy to detect distribution irregularities. The scattergrams for the Ni and Mo 371 analyses are presented in Figure 2, which shows interesting anomalies for these two metals. 372 In the scattergrams, each metal content is represented by a black dot; the lines represent 373 median values and the crosses represent mean values. Figure 2 shows 4 erratic points for 374 Mo, with 465 mg/kg and 474 mg/kg for [ICP 750 µm] and 138 and 300 mg/kg for [ICP 2 mm], 375 while the mean values are respectively 158 mg/kg and 44 mg/kg. These 4 points indicate the 376 presence of nuggets of Mo in the digested sample. They are not anomalous and as described 377 in section 0, it is important to keep them in the original data set. Mo could be present in 378 single flakes that form highly concentrated particles with a very significant impact on the 379 measured Mo content. For [ICP 750 µm], the number of repetitions was limited to 8, so that 380 the presence of 2 erratic points has a considerable impact on the mean value. If these 2 nuggets are not taken into account for the mean calculation, the mean value is equal to 54 381 382 mg/kg instead of 158 mg/kg. For [ICP 2 mm], the large number of repetitions (n = 16) 383 reduces the impact of the erratic point. No erratic point was observed in [ICP 200 µm].

One erratic point was also detected in [ICP 2 mm] for Ni, with a value of 1.02 % for a mean value of 0,46 %. As in the Mo case, for [ICP 2 mm] sample, the number of repetitions (n = 16) was sufficient to observe the impact of erratic points on the mean value. In the Touzé study, nuggets were also identified in Ni distributions (Touzé et al., 2020), which highlights the importance, for a critical examination the data set, of carrying out a large number of

389 repetitions and observing the data deviations. No erratic points were detected with the390 other metals.

391 3.2.4 [ICP 200 μm] as a reference

As described in section 0, it was decided to compare [ICP 750 μ m] and [ICP 2 mm] to [ICP 200 μ m]. Erratic points (nuggets) were removed from the data set for these comparisons. Mann Whitney statistical tests showed no significant differences between the values for [ICP 200 μ m] and [ICP 750 μ m] for Zn and Pb, and [ICP 2 mm] for Fe, Zn, Pb and Ni, but a significant difference for the other conditions. The spread of the measurement values for [ICP 200] μ m is relatively low (RSD < 4), which leaves little opportunity for not rejecting the test hypothesis.

For [ICP 750 μm] and [ICP 2 mm], the deviation from the reference is less than 10% for Fe, Zn and Pb, between 10% and 20% for Cu and greater than 20% for Cr and Mo (Figure 3). The deviations for Ni, Sn and Sb are dependent on the sample conditions: the Ni deviation remains below 12% for both conditions, the Sn deviation varies from 11% [ICP 2 mm] to 33% [ICP 750 μm] and the Sb deviation varies from 17% [ICP 750 μm] to 32% [ICP 2 mm].

404 Preparation by partial grinding to 750 μm and to 2 mm has little impact on the quality of the
405 measurement for Fe, Zn, Ni and Pb, a moderate impact for Cu and a strong impact for Sn, Sb
406 Mo and Cr.

407 3.3

Analysis by portable XRF

408 3.3.1 Mean value and dispersion according to sample preparation

409 Three different sample preparations (tube, cup and loose powder) and 3 particle sizes (200 410 μ m, 750 μ m and 2 mm) were studied. Combining these two parameters produced 8

conditions for study (no data for tube preparation with 2 mm, as explained in 2.5).
Evaluation of the data was based first on a comparison of average and relative standard
deviations. Mean value and RSD were calculated from 16 measurements, except for 3 loose
powder samples because a part of the values were below the Limite Of Detection (LOD); 4
measurements for Pd [pXRF 750 µm Powder], 9 measurements for Pd [pXRF 2 mm Powder]
and 11 measurements for Mo [pXRF 2 mm Powder] (Table 3).

417 For the 200 μ m sample, the mean value does not vary, regardless of the sample preparation. 418 The particle size is fine enough not to be dependent on compaction of the sample. These 419 results are consistent with Zhou's study (Zhou et al., 2020), which concluded that 420 compactness has a modest impact on Fe measurements of a ground mineral sample (80% of 421 particle size below 74 µm). For the 200 µm sample, the RSD is lower than 9% for the 3 422 conditioning preparations except for Mo and Pd (and Cr for the loose powder preparation 423 only). The high RSD for Mo and Pd (16 and 19% both for the 200 µm sample) are due to 424 sample heterogeneity and low concentration. As indicated earlier, sampling error is affected 425 by the concentration of the element studied; it is acknowledged that the error tends to 426 increase with a decreasing metal content. In this study, the Mo and Pd content was very low 427 (Mo = 89 mg/kg and Pd = 21 mg/kg) compared to the other elements. The RSD obtained for 428 the 200 µm sample with cup preparation are consistent with Korf (Korf and al. 2019), where 429 the RSD ranged from 3 to 8 % for Cu Fe Sn Pb Zn and Sb, while the RSD for Pd was 14%.

For the 750 μm and 2 mm samples, the contents of elements in the loose powder samples
are almost systematically lower than those prepared in cup and tube. The volume analysed
in the loose powder samples contains more air than the other preparations (cup and tube)
due to the lower compaction constraint. The sample volume for pXRF analysis is defined, so

434 the larger pore volume in a loose powder sample attenuates the signal and the value 435 obtained is therefore lower. For these two samples, the RSD for loose powder was 436 systematically higher than for cup and tube except with Ni, Cr and Pd. The masses used to 437 analyse the cup and tube preparations were larger than for the loose powder preparation 438 because of the different pore volume ratio. This has an impact on the sampling error: the 439 smaller masses used for analysis increase the sampling error and thus data dispersion (Touzé 440 et al., 2019). Furthermore, pXRF analyses the particles present in front of the analysis 441 window. With coarse samples (> 2mm), large grains may dominate the window area and 442 may not be representative of the overall composition.

443 3.3.2 Mean value and deviation according to particle size

444 For each preparation, the element content decreases significantly as the particle size445 increases.

446 For Cu, Fe, Ni, Sb and Pd, the difference between mean values is slight (for example for Ni, 447 the mean values are 3727 mg/kg for [pXRF 200 µm Cup], 3610 mg/kg for [pXRF 750 µm Cup] 448 and 3461 mg/kg for [pXRF 2 mm Cup]). For other metals (Sn, Zn and Pb) the difference is 449 quite large; this is the case for Zn (the mean values are 2.20 mg/kg for [pXRF 200 µm Cup], 450 1.22 mg/kg for [pXRF 750 µm Cup] and 0.88 mg/kg for [pXRF 2 mm Cup]). Finally, the 451 differences are critical for Mo and Cr (for Cr the mean values are 885 mg/kg for [pXRF 200 452 μm Cup], 597 mg/kg for [pXRF 750 μm Cup] and 282 mg/kg for [pXRF 2 mm Cup]). These 453 results show that pXRF measurements are not only affected by the preparation but are also 454 related to the metals. The grinding step could be selective as different type of metals and 455 other components are ground differently: for example, some metals (lead and copper) are 456 often beaten into flakes or smeared onto grinding surfaces and not well ground. This causes

457 greater variation in metal particle size, which leads to significant problems with particle 458 dispersion. This may affect the extent of metal release, in fact some metals might be 459 released and accessible to surface analysis whereas others might be trapped and 460 inaccessible. This effect is amplified for coarse particles.

461 In any case, there is an underestimation of metal contents for the coarsest sample. Assuming 462 that the average composition of the sample is the same for the three "particle size" samples, 463 the difference between samples could be explained by the variation of the pore volume 464 ratio. As this ratio increases with particle size, the 2 mm sample has the lowest ratio of the three samples. As indicated in section 3.3.1, the presence of a larger pore volume in a 465 466 sample attenuates the signal and the value measured is therefore underestimated. 467 Obviously, this also applies to the impact of particle size on data dispersion: the RSD 468 increases when particle size increases.

Our results are consistent with part of the Civici study (Civici, 2005). This author compared
the influence of particle size on pXRF measurements of polluted ground sediment; 4 particle
sizes between 2 mm and 150 μm were tested (the 2 mm sample contained 50 to 70% of fine
particles < 150μm). In this study, the metal content decreased as the particle sizes increased
for Cu and Zn (ppm level) but not for Fe (% level).

474 3.3.3 Presence of metal nuggets

As with the ICP results, few erratic points (Figure 4) were observed for some elements,
including Mo ([pXRF 750 μm Cup] – average: 44 mg/kg, erratic point: 123 mg/kg), Ni ([pXRF
750 μm Tube] - average: 0.36 mg/kg, erratic point: 1.6 %), Cr ([pXRF 750 μm Cup], average:
597 mg/kg, erratic point: 1954 mg/kg) and Pd ([pXRF 2 mm Cup] – average: 29 mg/kg, erratic
point 157 mg/kg). These points are not anomalous and it is important to keep them in the

original dataset as they indicate the presence of nuggets in the prepared sample. If these nuggets are not taken into account, the RSD decreases from 53 to 27% for Mo, from 83 to 15% for Ni, from 64 to 23% for Cr and from 130 to 38 % for Pd. The number of repetitions (n = 16) is sufficient to observe the impact of erratic points on mean values. Again, this highlights the importance, to evaluate the dataset, of carrying out a large number of repetitions and observing the data deviations.

486 3.3.4 Spectral analysis

The pXRF spectra were inspected visually in order to ascertain the significance of any interference arising from energy peak overlaps and to study the effect of sample preparations. No unknown interference was identified but some effects of particle size were detected.

Unlike other particle sizes where only variations in intensity are observed, considerable variations in intensity were observed in the case of 2 mm samples (Ca Fe, Cu and Br) as well as the appearance or disappearance of certain lines corresponding to P, Al, Cl, Co, Ni, etc. The intensity of the X-rays emitted varies significantly depending on the particle size distribution. With large grains, X-rays provide information on the specific composition of the elements contained in these grains, while with small particle samples, the information received will be representative of the general composition.

Furthermore, when the grains are large, the X-rays emitted are partially reabsorbed, and can be completely absorbed in some cases (opacifying effect). The sensitivity of the spectrometer varies depending on the distance between the X-ray tube and the sample. The flatness of the preparation should therefore be as perfect as possible

502 In summary, the sample conditioning mode (Inotedoose powder, cup, and tube) have no 503 impact on the contents and dispersion when the sample is finely ground (< 200 μ m). 504 However, conditioning mode do have an impact on contents and dispersion for coarse 505 particle sizes (750 µm and 2 mm). The contents for loose powder samples are systematically 506 lower than for samples prepared in tubes and cups. Loose powder RSD are higher than for 507 cup and tube samples for most elements. The sample particle size has an impact on the 508 contents for all 3 preparations: for the majority of the elements, the metal contents [pXRF 2 509 mm Cup/Powder] [pXRF 750 µm Tube/Cup/Powder] are lower than [pXRF 200 µm 510 Tube/Cup/Powder]. The RSD systematically increases (besides the nugget effect) from [pXRF 511 2 mm Cup/Powder] > [pXRF 750 μm Tube/Cup/Powder] > [pXRF 200 μm Tube/Cup/Powder]. 512 Measurements for [pXRF 750 µm Tube/Cup/Powder] and [pXRF 2 mm Cup/Powder] are 513 systematically underestimated. Samples for analysis should be ground to 200 µm at least.

514

3.4 [ICP 200 µm] reference versus pXRF

515 The study as a whole made more than 100 values available for each metal. Table 3 shows the 516 mean metal contents for each condition measured by pXRF. To compare them, each 517 condition was compared to a reference value represented by [ICP 200 µm] (Table 2), except 518 for Pd where the reference sample was [ICP 750 μm]. In Figure 5, individual values falling 519 outside the overall pattern of the data set were excluded; "n" indicates the number or 520 repetition after point exclusion. For Sb, the values obtained by ICP ([ICP 200 µm]) are 521 underestimate, only 73% of the metal were recovered by aqua regia digestion (section 522 3.2.1). The Sb reference value used in this section was recalculated from this rate (2393 ppm) 523 instead of 1742 ppm).

Mann Whitney statistical tests showed that only four pXRF conditions produced no significant difference between [ICP 200 μ m] values: Pb for [pXRF 200 μ m Tube], Mo for [pXRF 200 μ m Powder] and [pXRF 200 μ m Cup] and Ni for [pXRF 2 mm Cup]. As mentioned previously, the low spread of data for [ICP 200 μ m] leaves little opportunity for not rejecting the test hypothesis. For Pd there are no significant differences between [ICP 750 μ m] and [pXRF 200 μ m Tube/Cup/Powder] values. The spread of Pd for [ICP 750 μ m] values is large (RSD = 37%), which does leave more room to accept the test hypothesis.

531 Korf also compared pXRF to ICP (Korf et al., 2019). In this study, only the undersized sieved 532 fractions were selected (< 200 μ m): the un-ground fraction was not take into account. Two 533 different laboratories carried out the tests with a NITON XL3t (MINING and PLASTIC modes) 534 and a NITON XL3t-Air (MINING mode) and the materials were prepared in cups. The 535 comparison results are very close to ours: Korf pXRF values showed significant differences 536 from ICP analysis for Cu, Fe, Sn, Zn, Sb, Pb and Pd.

537 For the 10 elements, the [pXRF 750 µm Tube/Cup/Powder] and [pXRF 2 mm Cup/Powder] deviation from the reference [ICP 200 µm] is greater than 20% whatever the conditioning 538 539 mode (except for Sb and Ni [pXRF 2 mm Cup] where the deviation is 18%), (Figure 5). For 540 [pXRF 200 μm Tube/Cup/Powder] Cu, Sn, Zn, Ni and Pd have a weight difference of less than 541 20% compared to [ICP 200 μ m]; Pb, Sb and Mo have a weight difference of less than 10%. For Cr, and Fe, the weight difference is always greater than 20%. For the pXRF and ICP 542 comparison, Korf obtained similar results for Cu, Sn, Zn, Pb and Sb but different results for Fe 543 544 and Pd (Korf et al., 2019).

545 Therefore, for our sample, it is acceptable to use pXRF set to mining mode to measure Cu, 546 Sn, Zn, Ni, Pd, Pb, Sb and Mo as long as the sample is ground to 200 μm. In this case, the

deviations remained within acceptable limits (< 20% weight difference compared to ICP analysis), the Cu, Sn, Pb Ni, and Pd values being slightly underestimated and Zn and Sb slightly overestimated. Whatever the preparation, it seems that the use of pXRF, with factory-installed mining mode calibration, is not recommended for Cr and Fe measurements. Correction of the matrix effect is limited for this type of sample.

552 Additional studies must be conducted to optimize the calibration process with samples with 553 multiple concentration levels and with standards when they become available. The 554 collection of new data could allow post-analysis calibrations for Cr and Fe and for samples 555 ground to 750 μm.

556 4 Conclusion

557 This study enabled us to acquire data on the analysis of 10 metals (Cu, Fe, Sn, Zn, Pb, Ni, Sb, 558 Cr, Mo and Pd) contained in WPCB, using pXRF measurements as compared to the 559 frequently used aqua regia digestion followed by ICP. Three particle sizes (200 μm, 750 μm 560 and 2 mm) and three preparations for pXRF (tube, cup and loose powder) were tested.

561 The study has shown that pXRF can be a useful tool for the quantification of Cu, Sn, Zn, Ni, 562 Pd, Pb, Sb and Mo in WPCB with factory-installed mining mode calibration: less than 20% 563 deviation between pXRF and the reference [ICP 200 µm]) was obtained. Practical limits exist 564 on the preparation of samples, which have to be ground to 200 μ m to produce acceptable results. On the other hand, for Cr and Fe, pXRF with the factory-installed mining mode 565 566 calibration does not produce accurate measurements of these metals in WPCB; deviations 567 from the reference [ICP 200 μ m] being > 20%. The preparation of the samples (tube, cup or 568 loose powder) is not critical and has little impact on the quantification of Cu, Sn, Zn, Ni, Pd,

569 Pb, Sb and Mo. Therefore pXRF can provide cost-effective, non-destructive, real-time, direct
570 on site-measurements for some metals when WPCB are ground to 200 μm.

571 For coarser samples (750 µm and 2 mm), pXRF does not produce accurate measurements of 572 the metal content of WPCB with factory-installed mining mode calibration: more than 20% 573 deviation from the reference was observed expect for Sb. Particle size strongly influences the homogeneity of the sample (RSD increases with larger particle sizes, as with the ICP 574 575 methodology) and the intensity of the X-rays emitted (concentrations decrease when 576 particle sizes increase). For these samples, the mode of preparation has an effect on the dispersion of data: RSD are higher for loose powder than for cup and tube preparations. 577 578 Even so, for coarse samples, pXRF is a rapid and non-intrusive approach that can be used as 579 a first-level screening method to assess the relative distribution of metals in WPCB. Despite 580 these results, pXRF can be relevant with suitable calibration.

581 More investigations on these elements with pXRF would be necessary for different types of 582 WPCB, in order to modify the calibration factors for each element of interest. Suitably 583 calibrated, pXRF would be able to give values comparable to those of laboratory methods. 584 The larger the number of samples, the more robust the calibrations obtained.

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716 Figure 1: sample preparation procedures

Element	pXRF – LOD Mining mode (mg/kg)	Min and max means values ICP – analysis (mg/kg)	Interference	Applicability for studied sample				
Ag	AS	155-162	Sn line overlap	No				
Al	2500	59400-70000	Br line overlap	No				
As	5	15.0-17.3	Pb line overlap	No				
Au	20	52	Br, W, Pt, Hg lines overlap	No				
Cd	10	1640-1760	Ca and Sb lines overlap	No				
Со	100	333-368	Fe line overlap	No				
Cr	35	759-1150	Fe line overlap	Yes				
Cu	15	159000-202000		Yes				
Fe	35	148000-161000		Yes				
Mg	6500	1310	LOD > content	No				
Мо	3	44-146		Yes				
Nd	3	10.6-14.1	LOD close to content	No				
Ni	30	3840-4560		Yes				
Pb	10	12500-13100		Yes				
Pd	5	25		Yes				
Sb	15	1180-2000		Yes				
Se	3	0.8-1.2	LOD > content	No				
Sn	20	16700-27200		Yes				
V	35	14.3-26.0	LOD > content	No				
W	60	15-86	LOD > content	No				
Zn	15	14500-19300		Yes				

718 Table 1 : Limit of detection in mining mode and concentrations determined by ICP analysis.

		Number of repetitions	200 µm		750 μm		2 mm	
Element	Unit	200µm/750µm/2mm	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)
Cu	%	16/16/16	20.2	3	15.9	9	17.3	7
Fe	%	16/16/16	16.1	2	14.8	4	15.9	5
Sn	%	16/16/16	2.46	2	1.67	16	2.72	16
Zn	%	16/16/16	1.85	2	1.76	16	1.76	15
Pb	%	16/16/16	1.25	2	1.29	12	1.31	16
Ni	mg/kg	16/16/16	4226	4	3824	16	4560	38
Sb	mg/kg	16/8/16	1742	1	2048	2	1185	11
Cr	mg/kg	16/8/16	1151	4	842	12	759	34
Мо	mg/kg	16/8/16	80	4	158	122	44	171
Pd	mg/kg	0/16/0			24.9	37		

720 Table 2: Mean values from [ICP 200 μ m], [ICP 750 μ m] and [ICP 2 mm](aqua regia digestion – mass digested =5g).



Figure 2 : Scattergrams for Mo and Ni ([ICP 200 μm], [ICP 750 μm] and [ICP 2 mm]) (
 measurements, + mean value and — median value)



Figure 3: [ICP 750 μm] and [ICP 2mm] deviation from the reference value ([ICP 200 μm];. n= number of repetitions after exclusion of erratic points

Particle-size		200 μm						750 μm						2 mm			
Condition		Tube		Сир		Loose powder		Tube		Сир		Loose powder		Сир		Loose powder	
Element	Unit	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)	Mean	RSD(%)
Cu	%	16,8	2	16,3	4	16,0	5	12,0	15	11,80	24	9,9	41	9,9	27	6,5	48
Fe	%	11,9	2	11,5	2	11,6	4	8,4	25	9,65	20	7,0	34	7,3	41	5,0	54
Sn	%	2,1	3	2,1	2	2,0	7	1,3	21	1,18	24	1,0	34	0,9	39	0,5	51
Zn	%	2,1	3	2,0	3	2,0	7	1,1	24	1,22	29	0,9	36	0,9	65	0,7	109
Pb	%	1,2	3	1,2	2	1,20	5	0,8	24	0,81	21	0,7	35	0,6	36	0,3	55
Ni	mg/kg	3719	4	3727	8	3724	5	3937	83	3610	46	3159	34	3461	58	2551	96
Sb	mg/kg	2622	3	2587	4	2572	9	2467	15	2380	9	2328	33	2285	29	2566	50
Cr	mg/kg	910	7	885	6	855	14	507	25	597	64	419	31	282	41	140	38
Мо	mg/kg	89	16	81	17	79	14	43	23	44	53	38	66	16	47	17	72
Pd	mg/kg	21	19	20	16	23	22	27	81	17	44	19	46	29	130	13	23

734 Table 3 : Mean values for the [pXRF 200 μm Tube/Cup/Powder], [pXRF 750 μm Tube/Cup/Powder] and [pXRF 2 mm Cup/Powder]





Figure 4 : Scattergrams for Mo [pXRF 750 μm Cup], Ni [pXRF 750μm Tube], Cr [pXRF 750 μm Cup] and Pd [pXRF 2 mm
 Cup](
 measurements, *+mean value and —median value*)





744 Figure 5 : pXRF deviation from the reference value [ICP 200 μm]; n= number of repetitions after exclusion of erratic points