

Innovative CO2 pretreatment for enhancing biohydrogen production from the organic fraction of municipal solid waste (OFMSW)

Kathy Bru, Vincent Blazy, Catherine Joulian, Eric Trably, E. Latrille, Marianne Quéméneur, Marie Christine Dictor

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- 2 Fraction of Municipal Solid Waste (OFMSW)
- 3

4 Author's names and affiliations

- 5 Kathy Bru^a,*, Vincent Blazy^a, Catherine Joulian^a, Eric Trably^b, Eric Latrille^b, Marianne
- 6 Quéméneur^b, Marie-Christine Dictor^a
- 7 ^aBRGM, Environment and Process Division, 3 Avenue Claude Guillemin, BP 36009, 45060
- 8 Orléans Cedex, France
- 9 ^bINRA, UR050, Laboratoire de Biotechnologie de l'Environnement, avenue des Etangs,
- 10 Narbonne F-11100, France
- 11

12 Corresponding author

- 13 Kathy Bru
- 14 k.bru@brgm.fr
- 15 BRGM, Environment and Process Division, 3 Avenue Claude Guillemin, BP 36009, 45060
- 16 Orléans cedex, France
- 17 Tel: +33 2 38 64 47 61
- 18 Fax: +33 2 38 64 36 80
- 19
- 20

21 Abstract

22 A series of batch tests was conducted to investigate the effect of a CO₂ sparging pretreatment 23 on hydrogen production from fermentation of the organic fraction of municipal solid waste. 24 Tests were carried out in a stirred bioreactor without adding inoculum or supplementation 25 solution, at 35°C and with a pH regulated at 5.5. The influence of CO₂ flowrate (30, 100 and 26 500 mL/min) was evaluated. Results showed a decrease in biological hydrogen production for 27 a sparging flowrate of 500 mL_{CO2}/min, similar performances for the control experiments and for a sparging flowrate of 100 mL_{CO2}/min and an increase in biohydrogen production for a 28 29 sparging flowrate of 30 mL_{CO2}/min. For this latter operating condition, a substantial 30 improvement in hydrogen production performances was obtained with an increase in the 31 hydrogen yield by more than 20% and a decrease in the chemicals consumption used to 32 maintain the pH by more than 20%. No shift in the metabolic pathways and in the bacterial 33 community structure was observed but positive bacterial interactions occurred and enhanced 34 the hydrogen production. Therefore, this study suggested that the growth of hydrogen 35 producing bacteria could be either enhanced or slowed down by a CO₂ sparging pretreatment 36 depending on the level of CO₂ flowrate, the shift being close to 100 mL_{CO2}/min.

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38 Keywords

Acidogenesis; Bacterial communities dynamics; Bioreactor; Dark fermentation; Domesticwaste; Hydrogen.

41

42 **1. Introduction**

Development of renewable energy sources and sustainable management of organic waste are major environmental and economic issues. Energy production from municipal solid waste or industrial by-products is one way to respond to this double challenge [1]. Classic methods for producing energy from organic-rich waste are incineration and more recently, anaerobic digestion. In last years, hydrogen production from waste has arisen as an interesting pathway, due to the high energy content of the hydrogen (122 kJ/g) and its low pollution potential since it only produces water by combustion [2].

Among the various hydrogen production processes, biological technologies are attractive since they are carried out at relatively low temperature and ambient pressure, and are less energy-intensive than thermal or electrochemical processes [3-5]. Biological hydrogen production by fermentative microorganisms can be broadly classified into two main categories: photofermentation and dark fermentation. In photofermentative processes, small55 chain organic acids are used by bacteria as electron donors to produce hydrogen using light 56 energy [2,6,7]. Even though this conversion theoretically gives high conversion yields, this 57 process has many shortcomings such as low production rates, difficulties in designing the 58 reactors to maintain light penetration into a highly turbid bioreactor, low conversion 59 efficiencies of light energy, and photo inhibition at high solar light intensities [2,8,9]. In dark fermentative processes, organic substrates, usually carbohydrates, are directly converted into 60 61 H₂, CO₂, and organic acids without any external energy or electron acceptors [10]. Major 62 drawbacks include lower hydrogen yields with only 10-20% of the substrate energy 63 recovered as H₂ due to the limited metabolic energy of hydrogen fermentation; and instability of fermentative H₂ reactors [11-13]. However, dark fermentation is considered as the most 64 65 favourable hydrogen-generating process due to a high hydrogen production rate, simple 66 operation and design, and no requirement of additional light energy [12,14-16].

67 The production of hydrogen by dark fermentation from municipal solid waste and more 68 especially from the organic fraction of municipal solid waste (OFMSW) is a relatively new 69 process that has been studied by several authors [17-23]. Fermentative hydrogen production is 70 a very complex process influenced by many factors such as the operating conditions (pH, 71 temperature, agitation speed, hydrogen partial pressure, reactor type, hydraulic retention 72 time), the substrate characteristics (type of substrate, organic acids, metal ions concentrations) 73 and the composition of the microbial populations. In particular, mixed cultures appeared to be 74 the most appropriate for producing biohydrogen from solid organic waste [24-26]. Indeed, the 75 diversity of bacterial communities allows adaptation to different substrate composition and 76 operating conditions either by fluctuation in community composition and/or metabolic 77 adaptation [27,28]. However, some limitations regarding process development still remain to 78 be solved, such as the suppression of hydrogen-consuming bacteria [17]. Previous studies 79 reported several pretreatment methods to inhibit or kill hydrogen-consuming bacteria such as 80 thermal, alkaline, acidification, ultrasonic or chemical pretreatment [10,17,29-32]. Another 81 way to increase hydrogen production consists in decreasing the hydrogen partial pressure in 82 the bioreactor with continuous gas sparging. Researches on gas sparging were mainly related 83 to continuous sparging of gas such as N2, CO2, CH4 during the fermentative degradation of simple substrates [3,12,33-35]. However, no clear relationship between the sparging 84 85 conditions and the changes in H_2 yield was identified [3]. For example, Willquist et al. [35] 86 investigated the influence of CO₂ sparging on batch fermentation of glucose and sucrose by 87 Caldicellulosiruptor saccharolyticus at thermophilic conditions and showed that sparging 88 with CO₂ had a negative influence on growth rate and hydrogen production rate but had little influence on hydrogen yield. However, in a study performed by Kim et al. [12] on the effects of CO_2 sparging on continuous fermentative H_2 production from sucrose with seed sludge at mesophilic conditions, it was found that the H_2 yield increased noticeably with CO_2 sparging in comparison to unsparged conditions. Therefore, sparging with CO_2 may have various effects on hydrogen production. The objective of this study was to investigate and to bring new insight in the influence of a pretreatment step with CO_2 sparging on batch fermentative hydrogen production from a mixed culture, the OFMSW.

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97 2. Materials and methods

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99 2.1. Feedstock

The substrate used in this study was the Organic Fraction of Municipal Solid Waste (OFMSW) collected in Rouen (France). It was composed of fermentescible waste (57.0%), papers (24.6%) and cardboards (18.4%) and its Total Solids (TS) content was 51.4%. The Volatile Solid (VS) content was 136 mg/g of TS. The mixture was ground to a particle size below than 16mm. The BOD was 83.2 mg/g of TS and the COD was 149.6 mg/g of TS.

105

106 2.2. Experimental setup and operation

107 Batch experiments were carried out in stirred reactors with a working volume of 3.5 L. The 108 feedstock was fed with OFMSW at a VS content of 3.4 g/L. Neither exogenous inoculum nor 109 mineral medium was added. After sealing, a pretreatment was performed in two steps at 110 ambient temperature and under a mechanical stirring of 300 rpm. For the control experiments, 111 the first step consisted in adjusting the pH to 5.5 with HCl and the second step consisted in 112 sparging nitrogen during 15 minutes to reach anaerobic conditions through removal of 113 dissolved oxygen. For experiments with CO₂ sparging pretreatment, the pretreatment 114 consisted in firstly sparging the bioreactor with a fixed CO₂ flowrate during 20 minutes and 115 secondly in sparging nitrogen during 15 minutes. The objective of these tests was to evaluate 116 the effect a CO_2 sparging pretreatment on fermentative hydrogen production according to the 117 CO_2 flowrate (30, 100 and 500 mL_{CO2}/min).

After pretreatment, the reactors were mixed by mechanical stirring at 300 rpm and the temperature was controlled at 35°C by hot water circulation inside the water jacket of the reactors. They were equipped with temperature and pH probes (Heito) and with a liquid sampling port for microbial and metabolite analyses. The pH was controlled, during the fermentation process, at 5.5 by adding NaOH (1M) or HCl (1M) with a peristaltic pump. The gas produced during the fermentation was cooled to remove the water and then monitored by
a volumetric gas flowmeter (milligascounter®, Ritter). A schematic diagram of the
experimental set-up is shown in Fig. 1.

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127 2.3. Analytical method

Biogas composition was analysed on-line by using a portable gas chromatograph (Hewlett Packard, serie P200) equipped with a thermal conductivity detector and two columns. Argon was used as a carrier gas. Carbon dioxide content was determined using a 6-meter Poraplot U capillary column working at a temperature of 80°C. Hydrogen content was measured with a 12-meters MS-5A capillary column which the operational temperature was kept at 40°C. Methane was analysed in both columns.

134 Liquid samples for analyses of the fermentation end-products were taken at regular intervals 135 in the reactors. They were centrifuged at 11500 g for 15 min before analyses. The acidogenic 136 end-products, i.e. volatile fatty acids such as acetate, propionate, butyrate, isobutyrate, 137 valerate, isovalerate and caproate, were quantified by gas chromatography coupled to flame 138 ionization detection (GC-FID) in a Varian GC 3900, as described elsewhere [36]. The elution 139 was carried out in a semi-capillary column FFAP of 15 m and 0.52 mm in diameter (Phase 140 ECTM 1000). The conditions were as follows: carrier gas nitrogen at 20psi; injector temperature: 210°C; detector temperature: 280°C; gas flow rate: 6 mL/min; oven temperature 141 142 ramp: 80 to 120 ° C with a ramp rate of 10 °C per minute after 1 min of elution. The 143 quantification limit was about 40 mg/L. Other fermentation end-products, non-VFAs, such as 144 lactate and ethanol were quantified by high performance liquid chromatography (HPLC) 145 coupled to refractometric detection (Waters 2414). The separation column corresponded to an 146 AMINEX® HPX-87H column (supplier BIORAD) thermostated at 35°C. The separation of 147 the metabolic products was performed under isochratic elution of H_2SO_4 (0.008N) at a flow rate of 0.4 mL.min⁻¹. The refractometer temperature was fixed at 40°C. The quantification 148 149 limit was about 100 mg/L each.

150

151 2.4. Bacterial community analyses

For molecular analyses of the bacterial community dynamics and diversity, about 2 mL of liquid samples were taken before and just after the pretreatment, then at regular intervals in the reactors. Samples were immediately centrifuged (20 min., 14000g) and pellets were stored at -20°C. Genomic DNAs were extracted from frozen pellets with the FastDNA Spin Kit for Soil (Bio101).

157 Dynamics of bacterial community structures were investigated by a fingerprinting technique 158 of CE-SSCP (Capillary Electrophoresis-Single Strand Conformational Polymorphism). For 159 this, about 200 bp of the V3 region (E. coli position 331 to 533) of bacterial 16S rRNA genes 160 was PCR amplified (25 cycles, hybridation at 61°C) from genomic DNAs with the universal 161 reverse primer w34 (5'-TTACCGCGGCTGCTGGCAC-3') and the eubacterial forward 162 primer w49 (5'-ACGGTCCAGACTCCTACGGG-3') 5' end-labelled with the fluorescent dye 163 FAM. After heat denaturation (5 min at 95°C) in deionized formamide and immediate cooling on ice, single-stranded PCR products of same length but different sequence were separated by 164 165 CE-SSCP on an ABI Prism 310 genetic analyzer using a 47 cm long capillary and the non-166 denaturating 5.6% GeneScan polymer, and sample injection at 15kV, electrophoresis at 12kV, 167 and run at 32°C. The internal standard GeneScan 600 LIZ was used to correct any change in 168 the electrophoretic mobility between runs. Raw CE-SSCP profiles were analyzed using the 169 GeneScan software. The CE-SSCP technique was also applied for monitoring the H₂-170 producing clostridial population using the functional [Fe-Fe]-hydrogenase (hydA) genes amplified 171 with the nondegenerated primers hydAClosF (5'-ACCGGTGGAGTTATGGAAGC-3', Clostridium pasteurianum position F1258) and 172 173 hydAClosR (5'-CATCCACCTGGACATGCCAT-3', C. pasteurianum position R1508), as 174 described by Quéméneur et al. [27]. The hydA CE-SSCP profiles were aligned with the 175 internal standard GeneScan 400 ROX and the sum of the peak areas were normalized to unit 176 before statistical analysis using the StatFingerprints library [37] from R version 2.9.2 [38]. 177 The complexity of the H₂-producing clostridial population was estimated using Simpson's 178 diversity index D from a hydA CE-SSCP profile, by considering the number of OTU (number 179 of peaks) as well as their relative abundance (area under each peak) [39].

180 An inventory of Bacteria was performed based on gene libraries constructed (TOPO-TA 181 cloning Kit for Sequencing, Invitrogen) from PCR products amplified with primers 8F and 182 1406R [40]. Clones carrying a correct-length insert (about 1400 bp) were screened by CE-183 SSCP in order to group the clones according to their migration profile. One clone 184 representative of each group was purified and the carried insert was sequenced (Beckman 185 Coulter Cogenics) with plasmid-specific primers. Consensus nucleotide sequences of about 186 1400 nucleotides were submitted to a Blast search (www3.ncbi.nlm.nih.gov/BLAST) for 187 identification of closest bacterial relatives. Nucleotide sequences retrieved in this study have 188 been deposited under Genbank accession numbers JQ362799 to JQ362803.

189

190 2.5. Kinetic analysis of cumulative hydrogen production

191 The kinetic parameters of the cumulative H_2 production were assessed with a modified 192 Gompertz equation. This equation was extensively used to describe the kinetics of hydrogen 193 production from fermentation [8,19,41]. It considers the following expression:

194
$$H(t) = P \cdot \exp\left\{-\exp\left[-\frac{Rm \cdot e}{P} \cdot (\lambda - t) + 1\right]\right\}$$

195 where $H(t) = cumulative H_2$ production at fermentation time t (mL); P = maximum potential 196 H₂ production (mL); Rm = maximum H₂ production rate (mL/h); $\lambda = lag$ phase time (h) and e 197 = Euler's number (2.718281828).

- 198
- 199 **3. Results and discussion**
- 200

201 3.1. Gaseous production

202 Fig. 2 shows the time course of cumulative biogas and hydrogen production in control 203 experiments. Duplicates were performed and showed a good reproducibility of the results. As 204 shown in Fig. 2, the biogas was produced in two steps. The first step occurred just after the 205 beginning of the experiment and the biogas produced was only composed of CO₂. The second 206 step was related to hydrogen production. This second phase started after a lag time of 22h -25h with a maximum rate of 63.9 mL_{H2}/h and the final hydrogen production reached 795.4 207 208 mL_{H2} which corresponded to a hydrogen yield of 56.7 mL_{H2}/g_{VS} and a hydrogen content in 209 the second phase biogas of 37.4% (mean values - the standard deviations for the maximum 210 H_2 production rate, the final H_2 production, the H_2 yield and the H_2 content being 7 mL_{H2}/h, 211 10.2 mL_{H2}, 0.8 mL_{H2}/ g_{VS} and 2.7% respectively). These results demonstrate the feasibility to 212 produce hydrogen from the organic fraction of municipal waste without inoculum addition or 213 nutritional supplements.

214

215 Most studies on hydrogen production by dark fermentation have been dealing with soluble or 216 well defined substrates [17]. Experimental works on OFMSW or food waste are scarce and 217 they generally include the addition of inoculum and nutrients to enhance hydrogen 218 production. Some results using OFMSW or food waste for biological hydrogen production in 219 batch systems are exhibited in Table 1. The hydrogen yield obtained in this study (56.7 220 mL_{H2}/g_{VS} is lower to that reported by Lay et al. [19] who used OFMSW but the source of the 221 OFMSW used in these two studies was different and Lay et al. [19] also added two types of 222 seed organisms (digested sludge and hydrogen producing bacteria) and a mineral medium to 223 the substrate. Nevertheless, our hydrogen yield is highly consistent to values obtained by

Elbeshbishy et al. [32] in the fermentation of household waste with no inoculum or supplementation minerals.

226

227 The effect of a CO_2 sparging pretreatment on H_2 production was evaluated for three CO_2 228 flowrates (30, 100 and 500 mL_{CO2}/min). Results of these experiments are presented in Table 229 2, including the kinetics parameters of the cumulative hydrogen production evaluated with the 230 modified Gompertz equation (Eq. 1). All correlation coefficients of non-linear analysis were 231 over 0.99, suggesting that the modified Gompertz equation was able to adequately describe 232 the progress of cumulative hydrogen production in all batch tests. Methane was not detected 233 whatever the operating conditions. Table 2 shows that the hydrogen yield was improved at a 234 CO₂ flowrate of 30 mL_{CO2}/min with a mean value of 68.6 mL_{H2}/g_{VS} (standard deviation of 1.9 235 mL_{H2}/g_{VS} with regards to a yield of 56.7 mL_{H2}/g_{VS} (standard deviation of 0.8 mL_{H2}/g_{VS}) 236 obtained in the control experiments. This result corresponds to an increase of about 20% of 237 the hydrogen yield. However, a slight decrease in the hydrogen content was observed 238 meaning that the CO_2 sparging pretreatment also enhanced CO_2 production during the 239 fermentation course. Regarding the influence of the CO₂ flowrate on hydrogen production, a 240 shift was observed for a sparging flowrate close to 100 mL_{CO2}/min: the hydrogen production 241 was improved by about 20% (mean value) for a sparging flowrate of 30 mL_{CO2}/min, it was 242 similar for the control experiments and for a sparging flowrate of 100 mL_{CO2}/min, and it was 243 reduced by nearly 12% for a sparging flowrate of 500 mL_{CO2}/min. Moreover, Table 2 shows 244 that an increase in the CO₂ sparging flowrate had a negative impact on the other kinetics 245 parameters: the hydrogen content decreased from about 34% (mean value) to 24% and the lag 246 phase increased from about 18 hours (mean value) to 26.5 hours when the CO₂ flowrate 247 increased from 30 to 500 mL_{CO2}/min. These results suggest that the growth of hydrogen 248 producing bacteria could be either enhanced or slowed down by a CO₂ sparging pretreatment 249 depending on the level of CO₂ flowrate.

- 250
- 251 3.2. Production of the main metabolites

The formation of hydrogen is accompanied by volatile fatty acids and alcohols production during the anaerobic digestion process. Monitoring their concentration is thus useful to explain metabolic pathways and to predict hydrogen production. The main metabolic products detected during the experiments were lactate, acetate and butyrate. Fig. 3 shows the variation of these metabolites over fermentation time for the control experiments. Lactate and acetate were detected at the beginning of the experiments, i.e. before fermentation, with

258 concentrations of 13.9 mmol/L and 4.6 mmol/L respectively. During the first hours of 259 fermentation it was observed an increase in lactate content. After about 20 hours, the lactate 260 content decreased while butyrate was produced, this time corresponding to the beginning of 261 the hydrogen production, as shown in Fig. 2. Similar trends of metabolite dynamics were 262 observed for all the experiments even with CO_2 sparging pretreatments meaning that a CO_2 263 sparging pretreatment did not induce shifts in the metabolic pathways. These results are in 264 accordance with the statement of Willquist et al. [35] who showed that sparging with CO₂ did 265 not change the pathways to acetate and hydrogen.

266

267 Metabolite concentrations and the Butyrate to Acetate (B/A) ratio calculated at the end of the 268 experiments are given in Table 3. Table 3 shows a higher lactate concentration at the end of 269 the experiments with CO_2 sparging pretreatment, the value of lactate content increasing with 270 the CO₂ sparging flowrate from 0.2 mmol/L at 30 mL_{CO2}/min to 2.3 mmol/L at 500 271 mL_{CO2}/min. Moreover, a higher butyrate concentration was observed for the two CO₂ flowrate 272 conditions leading to an increase in hydrogen production, i.e. at 30 mL/min and 100 mL/min, 273 the butyrate concentration increasing from 11.4 mmol/L for the control experiments to 18.5 274 mmol/L and 17.2 mmol/L respectively. The experiments with a CO₂ flowrate of 100 mL/min 275 also induced an increase in acetate concentration whereas no such change was observed in 276 tests with a CO₂ flowrate of 30 mL/min. With a CO₂ flowrate of 500 mL/min associated to a 277 deterioration of hydrogen production performances, the acetate concentration was lower than 278 in the control experiments. Therefore, no clear relation was found between pretreatment 279 conditions and metabolite concentrations at the end of the experiments, in agreement with 280 findings from previous study [36]. Regarding the B/A ratio, this ratio was always higher than 281 2.0 even in control experiments meaning the butyrate pathway was predominant during 282 fermentation.

283

284 3.3. Chemicals requirement

285 Chemicals requirement to maintain the pH is an economic burden that has to be considered 286 for the evaluation of the process feasibility. Assessments of chemicals consumption are 287 presented in Table 4, where total chemicals consumption corresponds to the sum of the total 288 number of moles of acid and base used throughout the experiment. The pH after pretreatment 289 ranged from 5.4 to 5.6 in all experiments. Table 4 shows that total chemicals consumption 290 decreased significantly with a CO_2 sparging pretreatment at 30 mL/min. The total chemicals 291 consumption was reduced by more than 20% (mean value), mainly due to a decrease of the 292 acid consumption. This can be linked to the dissolution of carbon dioxide which forms 293 carbonic acid (H_2CO_3) and exists in the form of bicarbonate ions (HCO_3^{-}) and protons (H^+) 294 for pH values less than 8 (CO₂ + H₂O \leftrightarrow H₂CO₃ \leftrightarrow HCO₃⁻ + H⁺); carbonic acid and 295 bicarbonate ions maintaining the equilibrium and helping to resist to pH changes [34,45,46]. 296 However, with a CO₂ flowrate higher than 30 mL/min, the total chemicals consumption 297 increased, reaching 48.0 mmol/L for a CO₂ flowrate of 500 mL/min against a chemicals 298 consumption of about 22.0 mmol/L in control experiments i.e. an increase of nearly 120%. 299 This increase was mainly due to a strong increase in the base consumption which occurred at 300 the beginning of the experiment. This could be explained by an accumulation of bicarbonate 301 in the liquid due to a higher partial CO₂ pressure which induced a higher consumption of base 302 to maintain the pH. Bicarbonate content at the end of the pretreatment was assessed using the 303 chemicals consumption over the first 30 minutes to maintain the pH to 5.5 (data not shown). 304 The values were 1.2 mmol of HCl/L in the control experiment, 0.6 mmol of NaOH/L after 305 sparging with 30 mL_{CO2}/min, 0.8 mmol of NaOH/L after sparging with 100 mL_{CO2}/min and 306 1.5 mmol of NaOH/L after sparging with 500 mL_{CO2}/min. These chemicals consumptions 307 were representative of the bicarbonate content, and showed that the bicarbonate content after 308 pretreatment increased with the sparging CO₂ flowrate. This phenomenon was previously 309 reported by Willquist et al. [35] who studied the influence of sparging of N₂ or CO₂ on 310 hydrogen production by *Caldicellulosiruptor saccharolyticus*.

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312 3.4. Bacterial community structure

The dynamics of the bacterial community structure was monitored for the two pretreatment conditions leading to an improved or a similar H_2 production with regard to the control experiments, *i.e.* with a CO₂ sparging of 20 minutes at 30 and 100 mL/min both at a stirring speed of 300 rpm.

317 16S rRNA gene CE-SSCP fingerprints were similar before and after CO₂ exposure (data not 318 shown). The CO₂ pretreatment had thus no immediate effect on the bacterial community 319 structure. A good reproducibility of the diversity profiles detected at initial time was obtained 320 from one reactor to another (Fig. 4) which means that the three reactors were inoculated with 321 very similar communities of bacteria originated from the OFMSW. Regarding the global 322 evolution of the bacterial community structure, the behaviour was similar for the three 323 reactors. The diversity became more complex with time, as evidence by the increasing ratio of 324 Group A peaks which were undetectable initially. This result shows that a specific microflora 325 grew over the fermentation time. However, if same major peaks were detected, their ratio 326 varied from one reactor to another. When looking at the diversity retrieved at the end of the 327 H_2 production phase (around 45h), it was seen that the abundance of the peaks related to this 328 specific microflora was higher in the experiments with CO₂ pretreatment. This observation is 329 correlated with an increase in H_2 production, the highest peaks abundance corresponding to 330 the best hydrogen production.

331

332 In addition, functional hydA gene CE-SSCP fingerprinting was used to specifically compare 333 the diversity and the structure of H₂-producing clostridial population at different CO₂ flow 334 rates over time (Fig.5). Similar hydA CE-SSCP fingerprints were obtained at the beginning of 335 the experiments, demonstrating comparable inoculations of H_2 -producing populations from 336 OFMSW, in accordance with 16S rRNA gene CE-SSCP observations. Whatever the CO₂ 337 flowrate, the highest changes in dominant peaks of hydA CE-SSCP profiles were observed 338 during the first hours of the experiments, indicating the appearance of specific H₂ producers 339 from OMSFW during the first biogas production step. Higher hydA diversity was observed 340 when the maximum hydrogen production rate occurred. At this time, the highest hydA341 diversity was observed at a CO_2 flow rate of 30 mL/min (Simpson's diversity indice D = 342 3.34) related to the highest hydrogen yield (mean value of 68.6 mL/gvs), while the control 343 experiments were related to the lowest diversity (D = 1.1) and lower hydrogen yield (mean 344 value of 56.7 mL/ g_{VS}). These results indicate that a higher *hydA* diversity was directly related 345 to higher H₂ yield, suggesting the establishment of positive bacterial interactions (e.g. co-346 metabolism or synergy). After hydrogen production, it was observed a simplification of the 347 hydA CE-SSCP patterns. A simplification followed by a stabilization of the H₂-producing 348 community structure was previously reported in H₂-producing batch tests using synthetic 349 substrates due to competition/cooperation processes [47,48]. At the end of the experiments, 350 the highly similar *hvdA* CE-SSCP profiles were composed of only one major peak and several 351 minor peaks (diversity ranging from 1.3 to 1.8). These results were in agreement with 352 previous studies showing that the H₂ production potential was associated with low bacterial 353 diversity and more generally that changes in H₂ production performances are related to shift 354 of the hydA structure over time [47-50].

355

Some of the bacteria that developed in the 30 mL_{CO2}/min pretreated reactor were identified based on retrieved 16S rRNA gene sequences. The bacterial community was dominated by members of the *Clostridium* genus (43% of the sequences) such as *C. saccharolyticum*, *C.* 360 which means that these affiliations to known *Clostridium* species could not be clearly defined 361 since similarity percentages with reference sequences were below the 97% acknowledge for 362 species definition [51]. All the *Clostridium*-related sequences were positioned among the 363 Group A peaks on the reactor 16S rRNA gene CE-SSCP profiles. Many *Clostridium* species 364 are well known H₂ producing bacteria. Thus their concomitant development when H₂ was 365 produced strongly suggests that *Clostridium* spp. are main actors of H_2 production in such 366 systems. Besides, their role in hydrogen production was confirmed by the detection of 367 clostridial hydA genes. The lactic bacterium Lactobacillus sakei was also detected (30% of the 368 sequences with a blast similarity of 99%). Its migration position on the diversity profile 369 corresponds to the peaks detected at the beginning of the experiments (Group B peaks). This 370 supports the role of such bacterium in the initial fermentative processes of the OFMSW. 371 These results are somewhat contradictory with previous statements of Kim et al. [12] who 372 showed that high CO₂ partial pressure had little effect on H₂-producing bacteria but inhibitory 373 effect on other microorganisms such as acetogens and lactic acid bacteria which were 374 competitive with H₂-producing bacteria. However, their study was performed with a 375 continuous sparging of CO₂ or N₂ during fermentation of sucrose therefore impacts of CO₂ 376 partial pressure on bacterial communities may be stronger than in our works.

377

378 Results obtained in this study allow understanding the mechanisms of hydrogen production 379 from anaerobic digestion of OFMSW. This substrate initially contained a large population of 380 lactic acid producing bacteria such as Lactobacillus sakei which induced production of lactate 381 at the beginning of the experiments. During the fermentation course, the hydrogen producers, 382 which include members of the *Clostridium* genus, grew over time leading to a shift in the 383 metabolic pathway from lactic acid fermentation to hydrogen production. In particular, it was 384 shown that C. beijerinckii, C. acetobutylicum and C. diolis are related to conversion of lactate 385 to butyrate, CO₂ and H₂ in presence of acetate [52-54] and Matsumoto et al. [53] suggested 386 that this ability may be widely conserved in *Clostridium* genus. Hydrogen was then mainly 387 produced through the butyrate pathway. However, as shown in Table 5, hydrogen yields 388 obtained in this study were lower than 2 mol H₂/mol butyrate which is the theoretical 389 maximum yield for a butyrate pathway i.e. when only butyrate is produced during dark 390 fermentation. This low level could be attributed to other metabolic pathways and to the 391 presence of lactic acid producing bacteria since it was reported by several authors that lactic 392 acid producing bacteria can excrete other metabolites such as bacteriocins which inhibit the 393 activity of hydrogen producing bacteria and then lower hydrogen yields [44,55]. When a CO₂ 394 sparging pretreatment was performed, no shift in metabolic pathways was observed as 395 confirmed by the similar bacterial community structure. Moreover, operating conditions of 396 CO₂ sparging pretreatment greatly influenced performances of the hydrogen production 397 system. In particular, a pretreatment with a CO_2 flowrate of 30 mL/min decreased lag phase 398 and increased the diversity of H₂ producing bacteria leading to an improvement of the 399 hydrogen yield by about 20%. In contrast, a pretreatment with CO₂ flowrate of 500 mL/min 400 increased lag phase and decreased the hydrogen yield by about 12%. This phenomenon was accompanied with an increase in NaOH requirements to maintain the pH to its regulated value 401 402 and could then be explained by an enhanced osmotic pressure which could slowed down the 403 growth of some hydrogen producing microorganisms.

404

405 **4. Conclusions**

406 This study demonstrated the feasibility to produce hydrogen through fermentation of the 407 organic fraction of municipal solid waste (OFMSW) without adding an inoculum or any 408 nutrient solution. The hydrogen yield reached 56.7 mL_{H2}/ g_{VS} . The influence of an innovative 409 CO₂ sparging pretreatment on hydrogen production was investigated and the effects of the 410 CO₂ flowrate were evaluated. It was shown that hydrogen production decreased for a sparging 411 flowrate of 500 mL_{CO2}/min, was not changed for a sparging flowrate of 100 mL_{CO2}/min and 412 was increased for a sparging flowrate of 30 mL_{CO2}/min. For this latter operating condition, a 413 substantial improvement in hydrogen production performances was obtained with an increase 414 in the hydrogen yield by more than 20%. A shift in chemicals consumption was also observed 415 with a reduction of more than 20% with a CO₂ flowrate of 30 mL/min whereas chemicals 416 required for maintaining the pH increased for all other operating conditions. Regarding the 417 metabolites production and consumption, similar trends were observed for all the experiments 418 even with CO_2 sparging pretreatments meaning that a CO_2 sparging pretreatment did not 419 induce shifts in the metabolic pathways. Finally, analyses of the bacterial community 420 structure showed that profile dynamics was similar in all cases but it was shown that high 16S 421 rRNA gene CE-SSCP fingerprints and hydA diversity were related to higher H₂ yield which 422 suggested the establishment of positive bacterial interactions (*e.g.* co-metabolism or synergy) 423 in mixed cultures producing biohydrogen. Therefore, an optimized CO₂ sparging pretreatment 424 can improve performances of the fermentative hydrogen production process by enhancing the 425 buffer capacity of the system and the development of hydrogen producing bacteria. 426 Furthermore, CO₂ could also be used as an acidifying agent for lowering the pH to a favorable 427 value for fermentative hydrogen production which could allow a more important reduction in

- 428 chemicals consumption. Since the fermentation process generates a gas containing CO₂ and
- 429 H_2 and that separation of CO₂ is needed for producing a H_2 gas which can be used in fuel cells
- 430 for electricity production, it could then constitute an innovative approach to reduce the global
- 431 carbon footprint of this technology.
- 432

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434

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586 Figures legends

587

588 Fig. 1 : Schematic diagram of the anaerobic reactor used for the hydrogen production from OFMSW

- 589 Fig. 2 : Cumulative biogas and H_2 production for the control experiments
- Fig. 3: Evolution of acetate, butyrate and lactate concentrations for the control experiments (meanvalues)
- 592 Fig. 4 : CE-SSCP profiles of 16S rRNA gene fragments retrieved from H_2 -producing experiments 593 conducted <u>on</u> OFMSW at CO₂ flow rates of 0 (control experiments), 30 mL/min and 100 mL/min.
- 594 Fig. 5 : CE-SSCP profiles of hydA gene fragments retrieved from H_2 -producing experiments 595 conducted <u>on</u> OFMSW at CO₂ flow rates of 0 (control experiments), 30 mL/min and 100 mL/min.

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597

Feedstock	Inoculum	Reactor configuration	Supplementation	pH/Temperature	Pretreatment	H ₂ yield	Reference
OFMSW from <u>a French</u> <u>municipal</u> <u>waste</u> <u>collection</u>	No	Fermenters, 3.5L	No	рН: 5.5 Т: 35°С	None	56.7 mL/g _{vs}	This study
OFMSW from a dining hall	Digested sludge and H ₂ producing bacteria	Glass bottles, 120mL	Yes	pH: no regulation T: 37°C	None	180 mL/gvs	[19]
P 1				11.50	None	4.4 mL/g_{VS}	
food waste from a cafeteria	No	Fermenters, 200mL	No	рн: 5.0 Т: 35°С	treatment at 90°C for 20 min	96.9 mL/g _{vs}	[29]
					None	40 mL/ g _{vs}	
Food waste from households	No	Serum bottles, 200mL	No	pH : 5.5 initially, no regulation T : 37°C	Optimal: ultrasonic pretreatment then acid pretreatment (pH = 3 for 24h)	118 mL/ g _{vs}	[32]
Food waste from households	Seed sludge	Reactors, 500mL	No	pH : no regulation T : 35°C	None	Max: 39 mL/g _{vs}	[42]
Food waste from a cafeteria	Seed sludge	Digester, 250mL	Yes	pH: various initial pH values then no regulation T: 35°C	Yes for initial pH adjustment	Max: 120 mL/gvs	[43]
Food waste from a cafeteria	Seed sludge	Serum bottles, 120mL	Yes	No pH regulation T: 30°C	None	Max: 104.8 mL/ g _{vs}	[44]

599 Table 1 : Hydrogen production in batch processes fed with <u>OFMSW and</u> food waste

<u>Table 2 : Effect of pretreatment conditions on H_2 fermentation</u>

				,	,	,,			
Pretreatment conditions			Results						
CO ₂ flowrate (mL/min)	Stirring speed (rpm)	Time (min)	P (mL _{H2})	ΔP (% of the control exp.)	Yield (mL _{H2} /gvs)	Rm (mL _{H2} /h)	λ (h)	R ²	%H2
Control 1		802.7		57.3	68.8	22.0	0.9996	39.3	
Control 2		788.2		56.1	59.0	25.0	0.9998	35.5	
30	300	20	983.5	23.2	69.9	92.5	20.1	0.9969	33.0
30	300	20	944.4	18.6	67.3	72.9	16.5	0.9993	35.7
100	300	20	809.5	1.2	57.4	79.9	22.0	0.9994	29.6
500	300	20	712.2	-11.9	50.0	69.5	26.5	0.9982	24.0

P: maximum potential H_2 production; ΔP : variation in H_2 production potential compared to the control experiments; Rm: maximum H₂ production rate; λ : lag phase time; %H₂: hydrogen content of the

biogas produced during the second step

Table 3 : Metabolites at the end of the experiments (mean values are given for duplicates)

Pretrea	atment condi	tions	Fir concer			
CO ₂ flowrate (mL/min)	Stirring speed (rpm)	Time (min)	Acetate	Butyrate	Lactate	Final B/A
	Control		5.3	11.4	0.2	2.2
30	300	20	5.8	18.5	1.0	3.2
100	300	20	8.4	17.2	1.9	2.0
500	300	20	4.1	11.6	2.3	2.8

613 $\underline{Table \ 4: Influence \ of \ CO_2 \ pre-treatment \ on \ chemicals \ consumption \ (mean \ values \ are \ given \ for \ \underline{duplicates})}$

Pretreatment conditions			Results					
CO ₂ flowrate (mL/min)	Stirring speed (rpm)	Time (min)	pH after pretreatment	Acid consumption (HCl - mmol/L)	Base consumption (NaOH - mmol/L)	Total chemicals consumption (nacid + nbase) (mmol/L)	 Δ Total chemicals consumption (% of the control exp.) 	
Control		5.6	16.4	5.5	21.9			
30	300	20	5.4	10.2	7.0	17.2	-21.4	
100	300	20	5.4	15.6	12.0	27.6	25.6	
500	300	20	5.4	13.3	34.7	48.0	118.5	

616 <u>Table 5 : Ratio H₂/Butyrate at the end of the experiments (mean values are given for duplicates)</u>

Pretrea	atment condi	Final Ha/Butarata	
CO ₂ flowrate (mL/min)	Stirring speed (rpm)	Time (min)	$(mol_{H2}/mol_{butyrate})$
	Control	0.9	
30	300	20	0.6
100	300	20	0.6
500	300	20	0.7

Fig. 1 : Schematic diagram of the anaerobic reactor used for the hydrogen production from OFMSW





Fig. 2 : Cumulative biogas and H_2 production for the control experiments



Fig. 3 : Evolution of acetate, butyrate and lactate concentrations for the control experiments (mean values)

Fig. 4 : CE-SSCP profiles of 16S rRNA gene fragments retrieved from H₂-producing experiments conducted on OFMSW at CO₂ flow rates of 0 (control experiments), 30 mL/min and 100 mL/min.

	$0 \text{ mL}_{\text{CO}_2}/\text{min}$	$30 \ mL_{CO_2}/min$	$100 \ mL_{CO_2}/min$
Initial time		l	li
Maximum H ₂ production rate (Rm)	M	L	Luli
H ₂ production potentiel (P)	Mulath	Murl	hull

Fig. 5 : CE-SSCP profiles of hydA gene fragments retrieved from H_2 -producing experiments conducted on OFMSW at CO₂ flow rates of 0 (control experiments), 30 mL/min and 100 mL/min.

